

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

NAKAZAWA et al.

Serial No. 09/771,942

Filed: January 30, 2001

For: INK CARTRIDGE AND INK JET PRINTER

Attention: Application Branch

Atty. Dkt. 249-161

Date: May 4, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

The attached completes filing of the above-identified patent application:

- ☒ Signed Rule 63 Declaration alone OR  
☐ Signed Declaration plus attached copy of originally filed specification/drawings.  
☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.  
☒ Record and return the attached assignment.  
☒ Priority is hereby claimed per Rule 55 & 35 USC119 based on prior foreign application(s) Nos.:

Application Nos.	Country	Filing Date
2000-022896	Japan	January 31, 2000
2000-077108	Japan	March 17, 2000
2000-121455	Japan	April 21, 2000
2000-169244	Japan	June 6, 2000

respectively.

☐ This application is based on the following prior provisional application(s):

**Application No. Filing Date**

respectively and priority is hereby claimed therefrom.

Certified copy(ies) of foreign and/or provisional application(s): ☐ attached; ☒ already filed on March 15, 2001 in U.S. Application Serial No. 09/771,942, filed on January 30, 2001.

☐ The undersigned verifies that the above-identified application is identical to: filed , as amended on

☐ This application is entitled to "Small entity" status. ☐ "Small entity" statement attached.

☒ Also attached: Response re Substitute Drawing Requirement

**Fees due are calculated below:**

Basic filing fee		\$	710.00
Total Effective claims	21 - 21 = 0	x \$	18.00
Independent claims	3 - 3 = 0	x \$	80.00
If any proper multiple dependent claims now added for first time, add \$270.00 (ignore improper)		\$	0.00
	<b>FILING FEE</b>	\$	710.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$390.00/2 months; \$890.00/3 months; \$1390.00/4 months)		\$	0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted		\$	130.00
	<b>FIRST SUBTOTAL</b>	\$	840.00
If "small entity," enter half (1/2) of subtotal and subtract		-\$	0.00
	<b>SECOND SUBTOTAL</b>	\$	840.00
Assignment Recording Fee (\$40.00)		\$	40.00
	<b>TOTAL FEE DUE</b>	\$	880.00
	Check enclosed (Pre-Bill)*	\$	880.00
	Check enclosed (non Pre-Bill)*	\$	
	<b>TOTAL FEE ENCLOSED</b>	\$	880.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account N . 14-1140**. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8<sup>th</sup> Floor  
Arlington, Virginia 22201-4714  
Telephone: (703) 816-4000  
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AMK:jls

**NIXON & VANDERHYE P.C.**

By Atty: Alan M. Kagen, Reg. No. 36,178

Signature: Alan M. Kagen

\*For N&V internal accounting purposes.

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/771,942	01/30/2001	Chiyoshige Nakazawa	249-161

CONFIRMATION NO. 9698

## FORMALITIES LETTER



\*OC000000005859024\*

NIXON & VANDERHYE P.C.  
8th Floor  
1100 North Glebe Road  
Arlington, VA 22201

Date Mailed: 03/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

05/07/2001 MABDI1 00000011 09771942

01 FC:101  
02 FC:105

710.00 OP  
130.00 OP

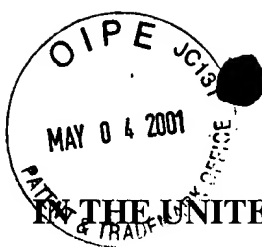
*A copy of this notice MUST be returned with the reply.*

A handwritten signature in cursive script, appearing to be 'ef', is positioned above a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

NAKAZAWA et al.

Atty. Ref.: 249-161

Serial No. 09/771,942

Group: Not Yet Assigned

Filed: January 30, 2001

Examiner: Not Yet Assigned

For: INK CARTRIDGE AND INK JET PRINTER

\* \* \* \* \*

May 4, 2001

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Applicants submitted twenty-two (22) sheets of formal, inked drawings on March 15, 2001 for the above-identified application. These drawings are believed to satisfy the substitute drawing requirement set forth in the Notice to File Missing Parts dated March 14, 2001, a copy of which is returned herewith.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: Alan M. Kagen

Alan M. Kagen

Reg. No. 36,178

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MAR 15 2001

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NAKAZAWA et al.

Serial No. 09/771,942

Atty Ref.: 249-161

Filed: January 30, 2001

Group: Not Yet Assigned

For: INK CARTRIDGE AND INK JET PRINTER

Examiner: Not Yet Assigned

\* \* \* \* \*

March 15, 2001

Assistant Commissioner for Patents  
Washington, DC 20231

**SUBMISSION OF FORMAL DRAWINGS**

Sir:

Enclosed herewith are twenty-two (22) sheets of formal, inked drawings for the  
above-identified application.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: Alan M. Kagen

Alan M. Kagen

Reg. No. 36,178

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